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ATTY. DOCKET NO. FIRST NAMED APPLICANT U.S. APPLICATION NUMBER NO. PG3600USW Stuart Neville Farrow INTERNATIONAL APPLICATION NO. 09/868,533 FEB 0 6 2006 PCT/EP99/07303 PRIORITY DATE I.A. FILING DATE 23347 10/05/1999 **GLAXOSMITHKLINE** 

CORPORATE INTELLECTUAL PROPERTY, MAI B475 FIVE MOORE DR., PO BOX 13398 RESEARCH TRIANGLE PARK, NC 27709-3398

**CONFIRMATION NO. 3391** 

371 FORMALITIES LETTER \*OC00000017841554\*

One month OA W/EDT

Date Mailed: 01/13/2006

## NOTIFICATION OF DEFECTIVE RESPONSE

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495)

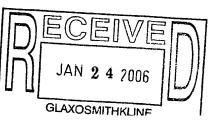
- Copy of the International Application filed on 09/21/2001
- Copy of the International Search Report filed on 09/21/2001
- Copy of IPE Report filed on 09/21/2001
- Preliminary Amendments filed on 09/21/2001
- Information Disclosure Statements filed on 09/21/2001
- Oath or Declaration filed on 09/21/2001
- Request for Immediate Examination filed on 09/21/2001
- U.S. Basic National Fees filed on 09/21/2001
- Priority Documents filed on 09/21/2001
- Power of Attorney filed on 09/21/2001

Applicant's response filed 01/12/2006 is hereby acknowledged. The following requirements set forth in the NOTIFICATION of MISSING REQUIREMENTS mailed 07/23/2001 have not been completed.

 This application clearly fails to comply with the requirements of 37 CFR. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment specifically directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825 (d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821

. (e) may be submitted in lieu of a new CRF. A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37

Docket No: Attorney: VGC /GCN Paper Sequence Lesting Deadline 13 FEB 2006 WID EOT Recorded:



CFR 1.821(e). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

Applicant is required to complete the response within a time limit of ONE MONTH from the date of this Notification or within the time remaining in the response set forth in the Notification of Missing Requirements, whichever is the longer. No extension of this time limit may be granted under 37 CFR 1.136, but the period for response set in the Notification of Missing Requirements may be extended under 37 CFR 1.136(a).

For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:

- For Patentin Software Program Help, call Patent EBC at 1-866-217-9197 or directly at 703-305-3028 / For Rules Interpretation, call (571) 272-0951 703-308-6845 between the hours of 6 a.m. and 12 midnight, Monday through Friday, EST.
- Send e-mail correspondence for Patentin Software Program Help @ ebc@uspto.gov

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice **MUST** be returned with the response.

## DONNA S GREENE

Telephone: (703) 308-9140 EXT 222

## PART 1 - ATTORNEY/APPLICANT COPY

PARII	- ATTORNE (III	
U.S. APPLICATION NUMBER NO. 09/868,533	INTERNATIONAL APPLICATION NO. PCT/EP99/07303	ATTY, DOCKET NO. PG3600USW

FORM PCT/DO/EO/916 (371 Formalities Notice)